



OIST's researchers are among the most international of Japan's faculties.

# REACHING OUT

*Japan seeks to boost its scientific research performance by transforming its insular universities to better accommodate international collaboration.*

DAVID MCNEILL

Tohoku University gutted its landmark engineering building a few years ago, leaving the brick facade intact. From the outside, the Advanced Institute for Materials Research (AIMR) looks much as when it was built in 1924, but inside all has changed. In place of the old, dim warren of separate research rooms is a bright, airy facility with common equipment rooms.

This architectural revamp was not just cosmetic. The purpose, says Susumu Ikeda, administrative director of AIMR, was to allow what he calls academic fusion to flourish. Intellectual walls had to collapse. Scientists were lured from around the world and encouraged to submit proposals for interdisciplinary research. English became the institute's lingua franca. In particular, says Ikeda, they wanted to use the universal language of mathematics to catalyze interactions between physicists, chemists, and traditional materials scientists.

Tohoku's makeover symbolizes Japan's bid to halt the slide

in its international research performance. The government is trying to create more internationally collaborative science by transforming the nation's insular higher education sector from within. Tohoku's AIMR was one of five founding World Premier International Research Centers (WPI) launched by the Ministry of Education, Culture, Sports, Science and Technology (MEXT) in 2007. There are now 13 of these institutes, each given long-term financial support to produce excellent science, on condition that they strive to become "globally open" centres of interdisciplinary research. The cost of the WPI programme so far is close to 100 billion yen (US\$914 million).

Yet, there are questions about whether the government is getting value for money. With many years of flat public spending on science, investing heavily in a handful of star academic institutions inevitably means cuts elsewhere, says Toru Nakano, a stem-cell researcher at the Graduate School of Frontier Biosciences at Osaka University. Without more fundamental reform of universities and research funding, Japanese science overall will continue to decline, critics say.

KENJI TOGO/OIST



Though still a science superpower, Japan has steadily slipped behind leading competitors, according to the Nature Index, which maps high-quality research output. Japan suffered a 19.9% drop in output from 2012 to the end of October 2018. The research downturn is “extraordinary,” lamented a UNESCO science report in 2015.

One reason for the decline, the government concedes, is that Japanese universities are still strikingly insular. The number of foreign students enrolled in higher education in Japan has grown in recent years to about 188,000 in 2017. But fewer than 5% of full-time Japanese university faculty in 2015 were from abroad, according to MEXT statistics. In the United Kingdom, for example, it was close to 30%.

## FAR TOO MUCH MONEY ACCUMULATES IN A SMALL NUMBER OF RESEARCH AREAS.

Inadequate funding for basic research is compounding the problem, notes Peter Gruss, president of the Okinawa Institute of Science and Technology Graduate University (OIST). Japan spends about 0.65% of its GDP on higher education and government research institutes, in comparison to about 1% in his native Germany. Spending on research in Japan, he says, has stayed still, while most other countries have overtaken it.

OIST is perhaps the nation's most radical attempt to reverse the academic slide. The graduate university has no departments: chemists, physicists, mathematicians and biologists share laboratory equipment and resources. With about 50% of its faculty from

outside Japan, it has one of the most diverse research cohorts of any university in the country. English is the official language.

OIST is among the top 20 Japanese institutions when assessed on its multilateral collaboration score January 2015–October 2018, an index metric that looks at the contribution to high-quality research papers by OIST and its international collaborators. The institute's fractional count in the index has doubled over the past three years, from 13 in 2015, to 30 in the year leading up to October 2018.

Replicating this nationally is not easy. OIST was built from scratch (it opened in 2011) and its roughly US\$2 billion costs have been paid directly by the Cabinet Office, bypassing any bureaucratic meddling. Located more than 1,500 kilometres from Tokyo in subtropical Okinawa, it is as cut off from Japan's mainstream academia as it is possible to get.

Still, Gruss says Japan could get much better value for money at its older institutes. He recommends targeted funding based on the European Research Council model of awarding starting grants to young researchers. This would give them the freedom to be creative and to choose where they work, while breaking the stultifying hold of department heads, and creating competition between universities keen to attract talent. Universities should also dismantle what he calls “the stiff and rigid departmental structure” and focus on their research strengths. “That will only work if they think competitively,” he adds. In other words, they need to recognize that they are in a struggle for resources and research results.

### PICKING FAVOURITES

MEXT has long acknowledged Japan's relative underperformance, and the need for more internationalisation, targeted funding, and loosening the hold of older professors



over research departments.

**KAGRA is generating more collaboration with foreign scientists.**

Indeed, says Ryuma Ohora, director of MEXT's Office for Strategic Planning for Emerging and Convergence Research, this was why the WPI Initiative was launched. The scheme hothouses selected research centres to make them sufficiently attractive to lure “frontline researchers from around the world.”

In addition to more money, department heads have been given autonomy to override hierarchies and recruit anyone they want.

After 10 years of funding (worth more than US\$11 million a year), which ended in 2017,

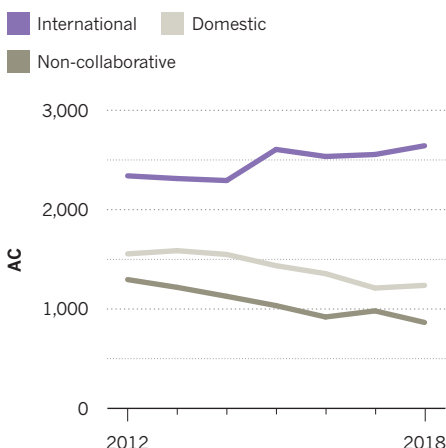
### SUBJECT STRENGTHS

Japan still ranks 4th globally in its contribution to physical science papers in the index journals, but has weakened its hold over chemistry, life sciences and the Earth and environmental sciences over the past six years.

RANK 2012	SUBJECT	RANK 2018
4	Overall	5↓
3	Chemistry	4↓
7	Earth & environmental sciences	8↓
4	Life sciences	5↓
4	Physical sciences	4

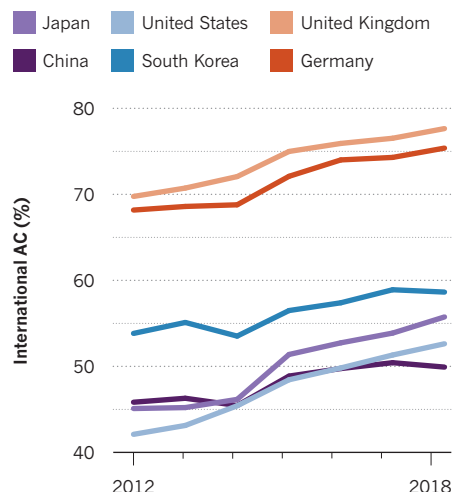
### GLOBAL REACH

An increasing number of the articles in the Nature Index authored by researchers in Japan are with collaborators outside Japan. Papers with authors only based in Japan (domestic), or only from one institution (non-collaborative) are declining.



### GROWING CONNECTIONS

Japan's proportion of internationally collaborative articles in the Nature Index journals has grown in line with global trends.





AIMR is heralded as one of the project's successes. About 40 of its 100 researchers are not Japanese. It has deepened partnerships with University College London, the Polish Academy of Sciences, and other foreign collaborators. The institute says the number of papers published annually in high-impact journals has increased by a factor of nine since 2007.

Among Tohoku University's recruits, for example, is Thomas Russell, a leading engineer from the University of Massachusetts Amherst who holds more than 30 patents for his work on polymers. Joseph Takahashi, a neuroscientist from the US, credited with identifying the genetic basis of circadian rhythms,

now works at the International Institute for Integrative Sleep Medicine, University of Tsukuba, another WPI designate.

Several WPI institutes have achieved landmark results. The sleep institute cites the discovery of a gene (*SIK3*) that regulates sleep, and a specific population of neurons that promote non-REM sleep at the expense of REM sleep. Two researchers from the WPI Kavli Institute for the Physics and Mathematics of the Universe were part of a team that won the 2018 Breakthrough Prize in Fundamental Physics for sending out a probe that captured detailed maps of the early Universe.

### CUTTING THE CHAFF

The broader evidence also suggests that Japanese researchers are stepping out of their intellectual cloisters. Nature Index shows a rise in Japan's internationally co-authored papers, which increased from 45.1% to 55.7% from 2012 to October 2018. That put Japan ahead of the US (52.6%) and China (49.9%) on this measure, but behind the UK (77.5%) and Germany (75.2%). Moreover, Ohora notes, Japan has halted its international decline in what MEXT calls "hot research areas" such as immunology and condensed matter physics. "This is good news for us," he says.

Japan's investment in costly infrastructure, such as the Kamioka Gravitational Wave Detector (KAGRA), a 16.4-billion-yen machine designed to detect cosmic ripples, is generating more collaboration with foreign scientists. Government funding for a new synchrotron radiation facility at the Tohoku University campus is also expected to boost its multinational research programme into atomic and molecular science.

Yet, there is little room for complacency. Japan's population has decreased for seven consecutive years, meaning fewer university

students and future researchers. And with the largest public debt in the industrialized world, it has less fiscal wiggle room than its competitors. The government may have to become more ruthless in slashing the academic chaff: under-performing research units.

Ohora notes that Japan lags well behind the US in biotech and information technology, hinting that it should continue to prioritize induced pluripotent stem (iPS) cell technology, robotics, chemicals and other Japanese research strengths.

Shinzo Abe, Japan's prime minister, appears alarmed by Japan's deteriorating science performance. Last year, the Council for Science, Technology and Innovation, the government's science advisory body, announced a 7% rise in spending on science and technology (to 3.84 trillion yen), after years of stagnant funding. The government is considering another 100 billion yen for the upcoming fiscal year to pay for exploratory research aimed at achieving what *The Mainichi* newspaper calls "moon-shot" results. The government is on track to hit a goal of spending 1% of GDP on science and technology in higher education by 2020.

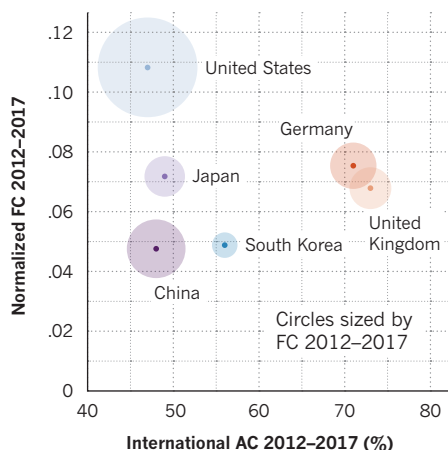
This extra cash is welcome, but experts say it will not increase Japan's global research profile. Indeed, says Masashi Yanagisawa, who runs the sleep institute in Tsukuba, government may be part of the problem. Few full-time government staff have research experience, he says, and the Japanese Cabinet lacks a science minister.

"It's very unusual among the G8 countries." The result, he laments, is that far too much money accumulates in a small number of key research areas. "If they want better results, let the scientists decide." ■

*David Swinbanks, founder of the Nature Index, is on the OIST board of councillors. He had no editorial input for this article.*

### INTERNATIONAL OUTPUT

Japan is less internationally collaborative than some global and regional competitors, but produces comparable high-quality research in the index relative to its total output in the natural sciences (Normalized FC 2012–2017).



### TOP COLLABORATORS

The US has remained Japan's top collaborating country or region in the authorship of high-quality research papers (bilateral CS). China has overtaken Germany as its second-preferred partner.

