Supplementary information

Trends in COVID-19 therapeutic clinical trials

In the format provided by the authors

	Description of data source
ClinicalTrials.gov	 COVID-19 trial database includes "Listed clinical studies related to COVID-19" from CT.gov Trials registered on CT.gov contain information not included in WHO ICTRP (e.g., number of study arms, sponsor type, etc.)
WHO ICTRP	 COVID-19 trial database includes list of "COVID-19 trials" from WHO ICTRP ICTRP registry incorporates trial records from multiple trial registries¹ ICTRP records include a subset of data fields available from these individual registries
Additional trial registries	 To supplement information from the WHO ICTRP registry, additional information is incorporated via webscrapes from select registries: Australian New Zealand CTR, Chinese CTR, German CTR (DRKS), EU CTR, Iranian CTR, and the Netherlands NTR

Figure S1: The COVID-19 trial database incorporates data from CT.gov, WHO, and select additional clinical trial registries

1 ICTRP registry includes a subset of data fields from Australian New Zealand CTR, Chinese CTR, ClinicalTrials.gov, EU CTR, ISRCTN, Netherlands NTR, Brazilian CTR, Indian CTR, Korean CTR, Cuban CTR, German CTR, Japan CTR, Pan African CTR, Sri Lanka CTR, Thai CTR, and Peruvian CTR.





Figure S2: Data model



1 http://covid19.trialstracker.net/index.html

Table S1: Summary of key data fields

Intervention

Intervention

Drug name Therapeutic class

Study type

Observational Interventional (drug, non-drug)

Trial design

Allocation Randomized Non-randomized

Assignment

Parallel assignment Factorial assignment Sequential assignment Single group assignment

Masking

Blinded (single, double) Open label

Severity

Uninfected Exposed Infected without symptoms Mild Moderate Severe Critical Recovered

Patient journey

Pre-exposure prophylaxis Post-exposure prophylaxis Asymptomatic Early mild Hospitalized LRI Ventilated ICU Recovered

Endpoint (not exhaustive)

Mortality Clinical recovery Organ failure ICU utilization/status Hospitalization status

Evidence generation Link to publication

Enrollment

Phase 0, 1, 1/2, 2, 2/3, 3, 4

Status

Active e.g., recruiting etc. Cancelled e.g., withdrawn etc.

Planned enrollment

Enrollment for trial Enrollment for each study arm

Study dates

Start date Primary end date

Operations

Sponsor

Lead sponsor Sponsor type (Available only for CT.gov)

Country

Lead country US involvement e.g., at least 1 trial site in the US

Trial site

Number of trial sites





Figure S3: The total number of trial arms¹ started and randomized, adequately powered per month

1 Corresponds to number of global investigational trials recruiting or completed. Excludes trials that have been terminated (or equivalent). Separates out multi-arm trials into distinct counts, including arms testing the same intervention in different doses or durations. May not be fully comprehensive. Excludes Traditional Chinese Medicine and vaccine trials. Placebo arms are not included in arm counts. 2 Randomized, adequately powered trials are defined as randomized controlled trials in Phase 2 or beyond with expected enrollment of 250+ per arm for ventilated ICU, 500+ for hospitalized LRI, 1,000+ for early mild or asymptomatic, and 5,000+ for post-exposure prophylaxis (PEP).

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Figure S4: Characteristics of non- 'randomized, adequately powered' trial arms (US)

1 Corresponds to number of global investigational trials recruiting or completed. Excludes trials that have been terminated (or equivalent). Separates out multi-arm trials into distinct counts, including arms testing the same intervention in different doses or durations. May not be fully comprehensive. Excludes Traditional Chinese Medicine and vaccine trials.

2 Randomized, adequately powered trials are defined as randomized controlled trials in Phase 2 or beyond with expected enrollment of 250+ per arm for ventilated ICU, 500+ for hospitalized LRI, 1,000+ for early mild or asymptomatic, and 5,000+ for post-exposure prophylaxis (PEP) or pre-exposure prophylaxis (PrEP).

3 Multi-phase trials grouped with earliest phase involved: Ph 1/2 trials grouped with Ph 1 trials, Ph 2/3 trials grouped with Ph 2 trials, Ph 3/4 trials grouped with Ph 3 trials.

4 Includes trials with unknown randomization design.

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5 If multiple sponsors are involved, trial is categorized by lead sponsor type.

U.S. FOOD & DRUG ADMINISTRATION Source: Clinicaltrials.gov accessed 11/20/2020 and WHO clinical trial registry accessed 11/20/2020



Figure S5: Randomized, adequately powered trial arms¹ and target patient enrollment for trials started before November 2020

1 Randomized, adequately powered trials are defined as randomized controlled trials in Phase 2 or beyond with expected enrollment of 250+ per arm for ventilated ICU, 500+ for hospitalized LRI, 1,000+ for early mild or asymptomatic, and 5,000+ for post-exposure prophylaxis (PEP) or pre-exposure prophylaxis (PEP).

2 Corresponds to number of global investigational trials recruiting or completed. Excludes trials that have been terminated (or equivalent). Separates out multi-arm trials into distinct counts, including arms testing the same intervention in different doses or durations. May not be fully comprehensive. Excludes Traditional Chinese Medicine and vaccine trials.

3 Planned enrollment defined as enrollment per arm estimated from total enrollment by assuming even distribution of patients across all arms. It is not reflective of actual enrollment. Total planned enrollment does not include planned enrollment in placebo arms.

